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| APPLICATION NO.       | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO. |
|-----------------------|-------------|----------------------|----------------------|------------------|
| 10/594,638            | 09/28/2006  | Tomoko Watanabe      | 081356-0268          | 1578             |
| 22428                 | 7590        | 12/23/2008           | EXAMINER             |                  |
| FOLEY AND LARDNER LLP |             |                      | SGAGIAS, MAGDALENE K |                  |
| SUITE 500             |             |                      | ART UNIT             | PAPER NUMBER     |
| 3000 K STREET NW      |             |                      |                      | 1632             |
| WASHINGTON, DC 20007  |             |                      |                      |                  |
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

| <b>Office Action Summary</b> | <b>Application No.</b> | <b>Applicant(s)</b> |  |
|------------------------------|------------------------|---------------------|--|
|                              | 10/594,638             | WATANABE, TOMOKO    |  |
| Examiner                     | Art Unit               |                     |  |
| MAGDALENE K. SGAGIAS         | 1632                   |                     |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

1)  Responsive to communication(s) filed on 28 September 2006.

2a)  This action is **FINAL**.                            2b)  This action is non-final.

3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## **Disposition of Claims**

4)  Claim(s) 1-35 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
5)  Claim(s) \_\_\_\_\_ is/are allowed.  
6)  Claim(s) \_\_\_\_\_ is/are rejected.  
7)  Claim(s) 9-16 is/are objected to.  
8)  Claim(s) 1-8 and 17-35 are subject to restriction and/or election requirement.

## Application Papers

9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a)  All    b)  Some \* c)  None of:

1.  Certified copies of the priority documents have been received.
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1)  Notice of References Cited (PTO-892)  
2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  
3)  Information Disclosure Statement(s) (PTO/SB/08)  
    Paper No(s)/Mail Date \_\_\_\_\_  
  
4)  Interview Summary (PTO-413)  
    Paper No(s)/Mail Date. \_\_\_\_\_  
5)  Notice of Informal Patent Application  
6)  Other: \_\_\_\_\_

## DETAILED ACTION

Claims 1-35 are pending.

### ***Election/Restrictions***

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

### ***Claim Objections***

Claims 9-16 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim should refer to other claims in the alternative only--, and/or, --cannot depend from any other multiple dependent claim. It is noted multiple depended claim 9 depends from multiple depended claim 6. See MPEP § 608.01(n). Accordingly, the claims have not been further treated on the merits.

Group I, claim(s) 1-8, drawn to a method for inducing the differentiation of a regulatory T cell and/or promoting the proliferation of a regulatory T cell, which comprises stimulating a GPI-anchored protein existing on the surface of an immunocyte other than CD52 with an agonist of the protein.

Group II, claims 17-20, drawn to a method for preparing a humanized antibody or an antibody against a GPI- anchored protein other than CD52, which is a drug having effects of inducing the differentiation of a regulatory T cell and/or promoting the proliferation of a regulatory T cell.

Group III, claims 21-23, drawn to a a regulatory T cell derived from a human immunocyte, which is obtained by stimulating an immunocyte collected from a patient's body or another humna's body, specifically by stimulating a GPI-anchored protein existing on the surface of the immunocyte, other than CD52, with an agonist ofthe protein, so as to induce differentiation into a regulatory T cell and to promote the proliferation of the regulatory T cell.

Group IV, claims 24-26, drawn to a method for producing a regulatory T cell derived from a human immunocyte, which comprises stimulating an immunocyte collected from a patient's body

or another human's body, specifically by stimulating a GPI-anchored protein existing on the surface of the immunocyte, other than CD52, with an agonist of the protein, so as to induce the differentiation into a regulatory T cell and promote the proliferation of the regulatory T cell.

Group IV, claims 27-35, drawn to a pharmaceutical composition for inducing the differentiation of and/or promoting the proliferation of a regulatory T cell, which contains an agonist of a GPI-anchored protein other than CD52 as an active ingredient.

The inventions listed as Groups I-IV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The invention of Group I does not require the drug having effects of inducing the differentiation of a regulatory T cell and/or promoting the proliferation of a regulatory T cell of Group II. An international and a national stage application shall relate to one invention only or to a group of inventions so linked as to form a single inventive concept. Where a group of inventions is claimed in an application, the requirement of unity of invention shall be fulfilled only when there is a technical relationship among those inventions involving one or more of the same or corresponding special technical features. The expression "special technical features" shall mean those technical features that define a contribution which each of the claimed inventions, considered as a whole, makes over the prior art. See 37 C.F.R 1.475 (a). If multiple products, processes of manufacture, or uses are claimed, the first invention of the category first mentioned in the claims of the application and first recited invention of each of the other categories related thereto will be considered as the main invention in the claims. See 37 C.F.R 1.475 (d) and 37 C.F.R 1.476 (c). Accordingly, Groups I-II are not linked by a special technical feature.

**Applicant is advised that the reply to this requirement to be complete must include (i) an election of a invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.**

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected invention.

If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. All claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. **Failure to do so may result in a loss of the right to rejoinder.** Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01. Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, his application contains claims generic to a plurality of disclosed patentably distinct species. Applicants is required to elect only one type of agonist; one GPI anchored protein; anti-CD3 antibody is a humanized **or** human antibody; humanized antibody **or** antibody against a GPI-

anchored protein for claim 17; patient's body **or** another human's body for claims 21 and 24; and allergic diseases **or** immune responses to transplantation for claim 35.

Applicant is required under 35 USC 121 to elect a single species, even though this requirement is traversed.

Should Applicant traverse on the grounds that the species are not patentably distinct, Applicant should submit evidence or identify such evidence of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the invention.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MAGDALENE K. SGAGIAS whose telephone number is (571)272-3305. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Paras Peter can be reached on 571-272-4517. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Anne-Marie Falk/  
Anne-Marie Falk, Ph.D.  
Primary Examiner, Art Unit 1632